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Respectfully submitted,

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IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: Wells OBRECHT
SERIAL NO.: NEW GROUP:
FILED: July 25, 1997 EXAMINER:
FOR: METHOD AND APPARATUS FOR PROCURING GOODS IN AN
AUTOMATED MANNER (amended)

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

July 25, 1997

Sir:

Applicants respectfully submit the following preliminary amendment and remarks in connection with the above-identified new application:

IN THE TITLE:

Please delete the title in its entirety and substitute therefor the following:

--METHOD AND APPARATUS FOR PRODUCING GOODS IN AN AUTOMATED MANNER--

REMARKS

Claims 1-20 are present in the application.

The above amendment to the title is made merely to maintain consistency and to place the application into better form prior to examination. Favorable action on the above-identified application is respectfully requested.

Please charge any fees or credit any overpayment pursuant to
37 CFR 1.16 or 1.17 to Deposit Account No. 02-2448.

Respectfully submitted,

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APPARATUS AND METHOD FOR AUTOMATED PURCHASING
OF GOODS OR SERVICES

This application claims the benefit of U.S. Provisional Application No. 60/023,282 filed July 25, 1996.

Field of the Invention

The present invention relates to a method and system for automatically facilitating a consumers procurement process from manufacturers or value-added resellers (VAR's, e.g., sellers) of various types of merchandise (goods) and services. In the present disclosure, the words "consumer," "buyer," and "customer" have been used synonymously. Also the words "seller," "dealer," and "provider" have been used synonymously.

Background of the Invention

Traditionally, the procurement of many goods (e.g., automobiles, computers, appliances, etc.) and services (legal, financing, medical, insurance, etc.) has been a very labor intensive, time consuming, and costly process. For a consumer to

find the best value at the lowest price, meeting all additional relevant criteria of the desired goods and/or services (hereinafter goods), the consumer had to personally contact many providers of the goods and then manually (or mentally) compare pictures of the goods versus the price and other criteria offered by each seller or provider. To compare prices and features of similar goods from different providers was a very difficult task for consumers. For example, definitions were not standardized, prices and other criteria may be fixed for only a short period of time. Providers were also reluctant to provide written prices and inventory often changes rapidly. The entire process may have taken weeks and possibly months, depending on the particular goods. In short, the cost of market information regarding goods was often too expensive to enable consumers to make fully informed decisions when buying goods. The burden of gathering information was simply too great for most consumers and as a result, consumers often made inefficient or incorrect purchasing decisions which did not truly reflect the true desires or requirements of the consumers for the goods.

The process of procuring the goods has been simplified recently by the introduction of product locator services. For example, on the internet, several firms enable customers to receive product and pricing information from multiple sellers based on customer-defined information. The seller information is pre-loaded into a database by a specific service firm (or by the seller) and the consumer is granted access to the array of information.

A drawback of the aforementioned procedure is that the information is static. That is, it does not vary dependent on different conditions. Another drawback to this system is that the information is often old and that sellers are not placed in a rigorous live price market competition for the business of the customer. Furthermore, sellers do not like placing information of their entire inventory (especially if prices are included) in the hands of the public because this could viewed by other sellers. This tends to lower the liquidity and efficiency of the market.

Also it is known where firms forward the names of buyers to participating sellers in a region. These buying services usually have some prearranged discount for the consumers who use the service. These services merely refer individual customers to preselected sellers based on geographic location or other criteria.

Summary of the Invention

It is an object of the present invention to provide an automated new electronic information/procurement system and method for providing a buyer with a medium with which to spontaneously and simultaneously request product information (features, qualities, warranties, price, etc.) from multiple sellers of a product in a selected area.

It is a further object of the present invention to provide a system that avoids indicating to sellers, in advance, when they will be requested to provide market and product information to the

buyer. Thus, the sellers might not know in advance the specific goods for which they will be prompted for information.

It is a further object of the present invention to provide a system which automatically selects a plurality of sellers, from which bids will be solicited, based on received criteria from the buyer and seller.

It is a further object of the present invention to provide a completely automated system and method to obtain information for the goods, instead of a manual system for obtaining this information, to ease the burden on the buyer for obtaining goods.

It is a further object of the present invention to provide a system which automatically ranks sellers and selects the ones with the highest ranking based on a plurality of criteria after quotes have been received from the sellers.

It is a further object of the present invention to provide a system which outputs a report of the rankings of the sellers to the buyers with or without other information.

The foregoing and additional objects of this invention are achieved by providing a method for purchasing goods or services from a seller by a buyer comprising the steps of (a) receiving a request from a buyer for goods or services with predetermined criteria related to the goods or services; (b) selecting at least one seller from a predetermined group of sellers of the goods or services based on the received predetermined criteria; (c) transmitting the request of the buyer to the selected at least one seller of the goods or services; (d) receiving, within a

predetermined time interval, responses from the sellers to the request; (e) compiling information provided in the responses received from the sellers within the predetermined time interval; (f) ranking the sellers based upon the compiled information and selecting sellers with the relatively highest ranking; and (g) providing the compiled responses of the selected sellers for access by the buyer (h) enabling the consumer (buyer) to purchase the goods or services from the desired seller.

Additionally, the foregoing and additional objects of the present invention are further achieved by providing a system for obtaining information for the purchasing of goods or services comprising input means for receiving a request for desired goods or services from a buyer, the request including predetermined criteria related to the goods or services; selection means for selecting at least two providers from a predetermined group of providers of the goods or services based on the predetermined criteria; transmission means for transmitting the request of the buyer to the selected providers; reception means for receiving, within a predetermined time interval, responses from the selected providers; computation means for compiling information provided in the response received within the predetermined time interval and for ranking the sellers based upon the compiled information, and for selecting sellers with the relatively highest ranking; and output means for providing the compiled responses of the selected sellers for access by the buyer.

These and other objects of the present invention will become more readily apparent from the detailed description given

hereinafter. However, it should be understood that the detailed description and specific examples, while indicating preferred embodiments of the invention, are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

Brief Description of the Drawings

Fig. 1 illustrates the system structure which provides a "real-time" conduit for a buyer to contact sellers and for sellers to respond to a request from the buyer;

Fig. 2 illustrates a flow chart of the overall method from receipt of a request from a buyer, to receipt of responses from a plurality of sellers, and finally to output compiled and ranked information to the buyer;

Figs. 3-6 illustrate alternative methods for receipt of information from the buyer in step S1 of Fig. 2;

Fig. 7 illustrates the steps performed in step S2 of Fig. 2;

Fig. 8 illustrates the steps performed in step S3 of Fig. 2;

Fig. 9 illustrates details of steps performed in step S4 of Fig. 2;

Fig. 10 is a representative screen of the system used by a buyer for submission of an initial request;

Figs. 11 and 12 illustrate the process of selecting sellers to receive a request from the buyer;

Fig. 13 is a representative screen of the system used by the seller for responding to a buyer request;

Fig. 14 illustrates weighting of seller responses by the system when compiling and ranking seller responses;

Fig. 15 illustrates an output provided with the ranking of sellers in response to a buyer request; and

Fig. 16 is a representation of alternative structure for communicating with a central computer of the system.

Detailed Description

Fig. 1 illustrates the structure of the system by which the buyer can contact the seller. The system includes, for example, a computer 9 which receives information input by the buyer including a request for goods or services. The information is transferred via the internet 10 via line 1, to the Automotive Live Market Exchange System (ALMES) computer, which is the central computer 11 of the system. The ALMES computer receives a request from the buyer and operates to select at least two sellers from a plurality of prestored sellers (prestored in a memory, not shown, for example) based on the buyers input request and other criteria related to the sellers, contained in a database stored in memory (not shown). Thereafter, the central computer 11 forwards the buyer request, via the internet 10 (for example), to the selected sellers.

The terminals 12, 13, and 14 of, for example, three sellers are continuously monitoring the internet 10 for requests. The

buyer request is then received by terminals of the selected sellers by via lines 4A, 4B, and 4C. While only three terminals have been shown, this is exemplary only. The terminals 12-14, or at least a subset thereof, then communicate via the internet 10 via lines 5A, 5B, and 5C with the central computer 11. This communication includes the response of the seller, based on the request. This information is then received by the ALMES computer 11 via line 6.

Once received, the ALMES computer 11 compiles and ranks the particular sellers based on the information of the responses and additional information contained within the database. The responses of the sellers that are selected by the central computer 11 are then forwarded, via the internet via line 7, to the terminal of the buyer via line 8. The buyer can then review the ranking and determine which response (if any) to accept and then can use the system, for example, to order either directly from a seller or through the ALMES computer.

Fig. 2 briefly outlines the steps of the process of receiving selected responses from the seller. In step S1, via the internet (or via another medium such as a facsimile or telephone, for example), the buyer initiates a request for a quote (RFQ) which is then received by the ALMES computer in step S2, where the RFQ is compiled. In step S3, the ALMES computer, based on a plurality of predetermined parameters, performs a seller selection process (SSP) to select at least one seller from a plurality of sellers based on, in part, the RFQ data. In step S4, a list of selected sellers is compiled by the ALMES computer based in part on the SSP. In step

S5, the ALMES computer transmits the RFQ to each of the listed sellers via the internet, (or alternatively via a pager, or by facsimile, for example).

In step S6, when a terminal of a seller receives the RFQ, the seller evaluates the RFQ. Thereafter, the seller transmits a product information quote (PIQ) via the internet, for example (or facsimile for example) which is received by the ALMES computer in step S7 and entered into the ALMES database.

In step S8, a selection process occurs by the central computer selecting, compiling and ranking the quoted goods (with a relatively highest ranking) based on the information in each PIQ provided by the sellers, and additional information stored in the ALMES computer. This is known as the PIQ selection process (PSP). In step S9, the ALMES computer, from the PSP, produces a consumer quote report (CQR) for transmission to the terminal of the consumer. This report includes the compilation of the sellers products performed by the ALMES computer. Finally, in step S10, the CQR is transmitted to the consumer via the internet or by facsimile, for example. The total time interval from step S1 to S10 can be 90 minutes or less, for example.

Fig. 3 illustrates some aspects of alternative media for transmitting an initial request (RFQ) to the ALMES central computer in step S1 of Fig. 2. The alternative media can include, but are not limited to, the internet, a facsimile (S1b), or a telephone (S1c). A personal computer can be used by the consumer to send

such a request via any of the aforementioned media, if equipped with a modem or equivalent device.

Fig. 4 illustrates the process followed by a consumer utilizing the internet, S1a of Fig. 3. In step S1a(1) the ALMES central computer downloads information from the ALMES web page, to the terminal of the consumer. The ALMES web page contains an RFQ option which can be selected in step S1a(2). In step S1a(3), the consumer selects a product for the RFQ using his computer terminal. In step S1a(4), the desired attributes of the product representing the selected attributes or criteria of the consumer, are selected and entered. In step S1a(5), billing information is input by the consumer.

In step S1a(6), the method of reach (e.g., telephone, facsimile, internet, etc.), for communicating with the system central computer, is specified by the consumer. In step S1a(7), the method of payment is specified and entered. In step S1a(8), the consumer submits, for transmission, to the ALMES central computer, the completed RFQ. If another RFQ is requested, this is done in step S1a(9) and steps S1a(2)-S1a(8) are repeated. If no other RFQ is required, an automatic billing is performed by the ALMES central computer and the consumer is billed automatically by the ALMES central computer in step S1a(10).

In Fig. 5, a process for communicating with the ALMES database central computer via facsimile is described, as illustrated broadly in step S1b of Fig. 3. In Fig. 5, the RFQ form, which can appear on the screen of the consumer computer terminal, is loaded with

data necessary to complete the RFQ form as indicated in step S1b(1). Alternatively, this RFQ form can be filled out by hand. In step S1b(2), the form is transmitted to and received by the ALMES computer, either by a facsimile associated with the originating terminal or by a separate facsimile. In step S1b(3), the received RFQ is entered into the ALMES computer. The entry of this information can be, for example, by keyboard, scanning, voice, or conventional structure available for entry of data.

Finally, Fig. 6 represents the process used for initiating communication with the ALMES central computer via telephone. The telephone can be part of the originating consumer computer terminal or can be a separate telephone. A telephone is used in communicating with the ALMES central computer step Sc(1) by the consumer first telephoning the ALMES central computer. In step Sc(2), an operator at the ALMES central computer gathers information about the customer. In step Sc(3), the information is entered into the ALMES central computer. In step Sc(4), the RFQ data is gathered by an operator. In step Sc(5), the RFQ data is entered into the ALMES central computer. In step Sc(6), if there is another RFQ requested, steps in Sc(2), Sc(3), and Sc(4), are repeated to enter another RFQ. Thereafter, the ALMES central computer generates an invoice and the consumer is billed in step Sc(7), and the call is terminated in step Sc(8).

Fig. 7 illustrates how the RFQ is compiled in step S2 of Fig. 2 at the ALMES central computer. The data is collected for the RFQ and is grouped into a database RFQ report table in step S2(a). The

central computer then generates a unique report of the central computer number for the RFQ report in step S2(b). Thereafter, the time of the report is generated, for example, by the central computer and is stamped on the report in S2(c).

Figure 1 illustrates one embodiment describing how sellers are selected and notified of the buyers request. The example shown in Fig. 1, illustrates seller computer terminals 12, 13, and 14. These computer terminals are each associated with a specific seller. An example of how the specific sellers are selected by the structure of the central computer 11 of Fig. 1 is illustrated in Figs. 8, 11, and 12.

In Fig. 8 in step S3(a), the product chosen by the consumer is compared to a prestored database list (stored in memory, not shown) of product sellers. In step S3(b), product sellers are selected from the database list that match the unique consumer criteria (e.g., a first group of a plurality of sellers who sell the desired product). In step S3(c), appropriate seller selection is made based upon the choice of the product sellers. In step S3(d), seller selection process (SSP) is run by comparing RFQ data to the seller data. In step S3(e), each seller selected by seller selection process is associated with the RFQ report in the ALMES computer. In step S3(f), the seller selection process is repeated until a designated number of sellers are selected by the central computer and then in step S3(g) the list of product sellers (i.e., a subgroup of sellers in the first group) that are selected is stored in the database of the central computer 11. These selected

sellers are then sent a request for response based upon the consumer request. Examples of the seller selection process (SSP) are set forth in the description of Figs. 11 and 12 below.

Fig. 9 illustrates the steps performed in the central computer 11 compiling the list of sellers which will receive the RFQ as indicated in step S4(a) of Fig. 2. In Fig. 9, in step S4a, all sellers related to the RFQ (sellers that sell the product requested by the consumer, for example) are grouped in the database of the ALMES central computer. Thereafter, in step S4(b), each seller in the group list of sellers is queried for a preferred method of reach. That is, the sellers have the option of being communicated by electronic mail, facsimile pager, etc.

Fig. 10 illustrates a computer display screen representing information that can be input to define the request of the buyer. The information on the screen is sent to the ALMES computer. The displayed screen information could be in the format of a form. In Fig. 10, various items of information can be entered as represented by different categories. The example of the screen in Fig. 10 is for an automobile. Other screens related to different products and services, with different key criteria, can be used.

As illustrated in Fig. 10, the consumer identifies himself by name, address, and method of reach in category A. The type of automobile is entered in category B. If a used car is desired, information such as a maximum number of acceptable miles and a maximum acceptable age in years can be entered. The accessories requested are entered in category C. Other information, for

example financing information in category D, potential aftermarket products in category E, trade-in information in category F and payment service (e.g. ALMES system service), can also be entered by a user in his quote request. Some of the categories need not be completed, such as trade-in and financing (categories F and D). This option will depend on the buyers requirements. Categories B to F can be used in the selection process of sellers as described in steps S_2 and S_3 of Fig. 2.

Additionally, information such as the address of category A can be used to select sellers with a predetermined geographic region, for example. Information can additionally be entered by the buyer to assign a predetermined weight to specific criteria which is important to the buyer as will be explained with regard to Fig. 14. The aforementioned information criteria are representative examples only and, of course, the screen can vary depending on the item that is to be purchased.

Figs. 11 and 12 illustrate examples that can be used by the ALMES central computer in selecting at least one seller for receipt of the product request of the buyer, based on predetermined (criteria) information received with the request of the buyer, and other criteria. For illustrative purposes, Fig. 11 represents a selection of dealers (from a prestored group of dealers) of new cars, while Fig. 12 represents a selection of dealers (from a prestored group of dealers) for used cars.

In step $S4a$ of Fig. 11, it is initially determined, based upon the geographic location of the customer, whether the dealer has

expressed an interest in advertising in a customer zone (geographic location of the customer) or if the dealer is located in the customer zone. If the answer is no, the dealer is excluded and therefore, will not be transmitted a request for response. In step S4b there is an inquiry made as to whether or not the dealer is current on bills due to the owner of the system. If the answer is no, the dealer is excluded. If the answer is yes, the procedure is followed to step S4c. Note that the exclusion of dealers refers to the exclusion of one dealer from the group of prestored dealers that sell the product desired by the customer. For each of the dealers in this group of prestored dealers that sell the product, the procedure of Fig. 11 (or Fig. 12) is repeated and is used to select a predetermined number of dealers (ten for example), which will receive a request for response (request for quote).

In step S4c, an inquiry made as to whether or not a consumer satisfaction index (CSI) of the dealer is greater than a predetermined threshold, for example 3.5 out of a possible 4. Criteria for developing such a CSI can include customer satisfaction information received by past customers of the buying service. In this step, dealers who have a poor CSI are excluded by the central computer and if they have an acceptable CSI, the process proceeds to step S4d.

In step S4d, there is an inquiry as to whether or not the dealer sells the vehicle and model of automobile specified by the consumer in the initial request. If not, the dealer is excluded. If so, then the process proceeds to step S4e wherein the central

computer 11 ranks the dealer among other dealers based upon a predetermined amount of time waited (in minutes for example) since receiving a last request for quote. In step S4f, there is an inquiry if the dealer is in a top ten list. In other words, all "qualified" dealers are ranked in step S4e to ensure that each receives an equal amount of requests. If the dealer is not in the top ten list in step S4f, then the dealer is excluded. Once all dealers in the prestored group have been processed, then the quote request is sent to the selected predetermined number of dealers.

Fig. 12 represents an example of the selection process for used vehicle dealers. In step S4h1, the ALMES central computer determines if the dealer is physically located in the geographic zone requested by the consumer. If not, the dealer is excluded by the central computer unless the dealer has requested to provide a quote in the selected zone in step S4h2. In step S4i, the central computer determines if the dealer is up-to-date on bills, and if not, the dealer is excluded. In step S4j, the central computer determines if the dealers consumers satisfaction index is greater than 3.5. If not, the dealer is excluded. The central computer determines in step S4k, if the dealer wants to be included in a sale of the vehicle model identified by the consumer. If not, the dealer is excluded. If so, the central computer determines in step S4l, if the ranked dealers have the same corporate ID (in other words, are the ranked dealers owned by the same corporation). If so, only the top three dealers of those including the same corporate ID are included in the top 10 list. In step S4m, the

central computer determines which dealers are in the top ten list, selects these dealers, and transmits a quote request in step S4n to the computer of the selected dealers. Note that the aforementioned flow charts of Figs. 11-12 merely illustrate an exemplary aspect of the dealer selection process of the present application and should not be considered as limiting the selection process to the selection criteria specified therein.

The computer of the dealer receives the request for a quote. The computer of the dealer can continuously monitor the internet for the requests for quotes from the central computer 11. After the quote is received, the dealer may submit a quote.

A screen of the computer of a dealer is shown in Fig. 13. The information on the screen is sent to the dealer in formulating a quote on the computer of the dealer. For example, displayed on the screen is identification information, vehicle location, vehicle specification, accessories included, pricing information, financing information, and leasing information. This screen shown in Fig. 13 is only an example and of course, additional or different categories of information can be added depending on the product being offered.

Within a specific predetermined time period (which can be varied and can be, e.g., sixty minutes), by the central computer, the computer of the dealer must forward a quote to the central computer 11. If the computer does not receive a quote from the dealer within the predetermined time period, then any quote thereafter received will not be accepted. With regard to all

dealer quotes received by the central computer 11, within the predetermined time period, the quotes of the dealers are compiled and ranked by the central computer 11. The central computer 11 will not accept quotes from dealers that are not received within the predetermined time period originally set in the quote request sent to the dealer.

The screen shown in Fig. 13 lists specific categories of information related to an automobile (assuming that the dealers are to submit quotes related to an automobile). The screen used will vary dependent on the product of services. The categories of information include identification of the dealer, along with specific "automobile" categories including vehicle location and specifications, accessories, pricing information, financing information, and leasing information. All items need not be completed. Based on all information supplied, a quote is processed by the central computer 11 for the buyer as will be explained further with regard to Fig. 14.

Fig. 14 illustrates an example of how the quotes (received within the predetermined time interval) of the dealers are compiled and ranked by the central computer 11. As illustrated in the first and third columns of Fig. 14, there is an inquiry as to whether criteria, designated in the request by the buyer, has been matched by the quote of the dealer. This criteria is assigned a predetermined weight such that, for each element of information matched by the dealer quote, a predetermined weighted value is assigned. Then, each of the matched weighted values are added

together to produce a total value for the dealer quote. Total values for all dealer quotes received within the predetermined time interval are then compared and ranked, and the top ten, for example, are output in their ranked order, for access by the buyer (see Fig. 15).

In the second and fourth columns of Fig. 14, the compilation involves calculating and assigning the aforementioned weighted values to the matched items by the central computer. These can include, but are not limited to, model, series, style, price, (geographic) zone, etc. The most critical criteria are weighted the heaviest (i.e., model=80 points, series=40 points, style=30 points, etc.). Note that criteria other than price are used in selecting and ranking dealer quotes.

The box indicated by the heading "notes," further defines qualifications on matching as accomplished by the central computer. Note (1) refers to co-dependent criteria. For example, note (1) corresponds to vehicle series. Thus, only if the quote from the dealer matches the model and the series requested by the buyer, then a value of 40 is given to the dealer quote (since series LX, for example, is only important if it corresponds to the desired model). If model was not a match, then no value for "series" is given to the quote, irrespective of whether or not "series" is a match.

In note 2, if the price quoted is within the range request by the buyer or less than the requested range, then a weighting value of 15 is given to the quote.

With regard to the "other" criteria, a buyer may not only designate a particular important feature of a product, but the buyer can also assign the feature a weight. Thus, if the vehicle desired must be a convertible, for example, then the buyer can assign this feature a weight of 100, for example. Alternatively, all weights could be variable and assignable by a buyer.

Finally, as shown by notes (5) and (6), matches can further be qualified. For example, note (6) specifies that a match only occurs if it is a positive match. This refers to the fact that for "leather," for example, a match is only counted, and 4 points are awarded only if "leather" is selected and the dealer quoted vehicle has leather. To the contrary, if the buyer does not select "leather" as an option and the quoted vehicle also does not have leather, no match is determined and no points are awarded (this would be a negative match).

The weighting factors and values are only exemplary, as are the conditions noted in Fig. 14. After the weighting is completed, based on the quote information supplied by each of the dealers being compared to the request of the buyer, a ranking of the dealers that supplied quotes within the predetermined time interval is automatically performed at the ALMES central computer 11.

After the dealers have been ranked by the central computer 11, this ranking is output and made available to the customer. For example, the rankings, in the form of a report, can be transmitted to the computer terminal of the consumer illustrated in step S10 of Fig. 2. The ranking list will preferably show the dealers in a

sequence based on the ranking done by the central computer 11. Alternatively, the consumer can be notified that a quote report has been completed (via electronic mail, facsimile, etc.), the consumer can be sent a password, and the consumer can then access the quote web site, for example, the auto web site on the internet and retrieve his quote report using the password.

Fig. 15 is one example of a generated quote report wherein dealers represented by terminals 12, 13, and 14, as illustrated in Fig. 1, have been ranked. Dealer 13 is ranked A, dealer 12 is ranked B, and dealer 14 is ranked C. This report and ranking is only illustrative as an aid in understanding the present invention.

The report in Fig. 15 includes different categories of information, for example, vehicle information, warranty information, financing information, specifications, and additional dealer comments. The specification information relates to the quoted vehicle. Also, the quotes provided by the dealers are ranked on the report, wherein the quote of dealer 13 is the best match and is therefore labeled "A," dealer 14 is labeled "B," and dealer 15 is labeled "C." The designation of the dealer with the best quote (A) and the worst quote on the report (C) was previously explained regarding Fig. 14.

Dealers 13, 14 and 15 are identified to the buyer by name and address. The information is obtained from the seller's report for example, as shown as a screen in Fig. 13. The ranking of the dealers (to determine which quotes appear on the report and the

ranking within the report), as represented on the report, is done as described above with reference to Fig. 14.

After the consumer has received the quote report, the consumer can, if he chooses, select a quote. The quote can be accepted either through ALMES central computer 11 or directly from the dealer.

Also, after a sale is made, sellers can be informed of comparative quotes submitted by other sellers. These preferably would not involve identification of any seller.

Fig. 16 represents another example of structure that can be used to alternatively communicate with the central computer 11. For example, element 21 represents the computer terminal of the consumer. The consumer computer can be used to communicate with a telecommunications center 22. The terminal of the seller 23 can also be used to communicate with such telecommunications center 22. From the telecommunications center 22, the internet can be used to communicate with a dedicated server 24 via a dedicated line and from there to an application on the server 27 and thereafter to the ALMES database server 28. As an alternative, a facsimile apparatus can be used to communicate with the ALMES database server 28 via a fax server 25. Alternatively, a telephone apparatus can be used to communicate from the telecommunications center 22 to a call center agent 26, to call center 29, and then to the server 28. A wide area network 30 can also communicate with the server 28.

The present invention has no pre-defined inventory of product (or service) information for the consumers to access and is not

limited to automotive products. Instead, the method and apparatus provides a real-time conduit for buyers to specify the exact product desired with specific criteria. The seller is also provided with a "real-time" system for transmitting information back to the buyers on the specific goods requested. The system receives a considerable amount of buyer information which is then provided to a seller, prior to participating in a response to the buyer (a quote based at least on the buyers information). Thereafter, the system may or may not select the seller to provide information which will ultimately be made available to the individual buyer.

After buyer information is input, the apparatus automatically selects sellers to contact by analyzing a multitude of seller and buyer information (criteria). This information (criteria for seller selection from a group of prestored sellers) includes, but is not limited to, geographic data, proprietary customer satisfaction index (CSI) ratings, product availability, history of providing information, outstanding invoices, seller preferences, buyer preferences, etc. Depending on the product, a buyer may elect to search for the product information over a wide geographic area (e.g., the United States) or even a local geographic area (e.g., Baltimore, Maryland). Likewise, sellers can elect to submit data to buyers based on the buyers physical location. By matching sellers and buyers by geographic presence and other criteria automatically, the apparatus improves both the liquidity and efficiency of the market for the goods desired. The result is a

more competitive market and thus a better shopping situation for buyers. Thus, with the system and method of the present invention, buyers and sellers can engage in a computerized, silent, and secret auction without any human intervention.

Additionally, the computer system will automatically bill the report feature to the buyers credit/charge card. After automatic payment, the buyers have access to the system template of buying options. After selecting the desired product, the buyer may direct the system to access sellers of that good and place them in a live auction situation. Then, within a buyer defined time for example, a report will be automatically generated and made available and accessible to the buyer. This accessibility can be done by facsimile, electronic mail, web page, U.S. mail, etc. The buyer selects how he would prefer to receive the auction data. No human intervention is needed.

Also, sellers may sign up to participate in auctions by filling out an application form on-line for subsequent storage in the central computer database. Then the seller will be eligible to receive buyer information and to submit quotes back to buyers through the present system. The computer system will automatically generate and send (via electronic mail, facsimile, or world wide web page) an invoice to participating sellers. Sellers can elect to pay both by conventional means (check or credit card) or by some form of internet cash. If sellers are current on their bills, they remain eligible to continue using the system. The entire loop for

sellers and buyers can be accomplished without human intervention. Thus, it is completely automatic.

Additionally, buyers and sellers can research market conditions using the database of the present invention. For individuals interested solely in market data, the present database will permit customized inquiries of both historical and real-time information. To judge the market price of goods an individual can request to "watch the market" from their personal computer or other device with internet access. Buyers or sellers merely enter a few parameters into the internet web page of the system and will then be granted access to a "ticker-tape" type of market information on specific goods for particular regions of the world. Here the individuals will not be receiving information customized to their specific needs and there is no contact with the sellers. Individuals would merely be watching others request and receive information in order to judge general market conditions. Information will be limited so to not undermine the use of the trading system as of the present invention.

The buyers and sellers can directly contact each other after the market information has been conveyed to the buyers. The buyers can decide which one or more of the plurality of quoting sellers they wish to contact when the information is received from the present system. In other words, the dynamically generated report, which can be on the internet, may contain hyperlinks to the participating sellers. Buyers will be able to instantly communicate with the seller perhaps to purchase the product, to

request more information, or to put a hold on the goods etc. This communication will be conducted through the present system.

Also, third party advertising can precisely target messages to buyers receiving an auction report. These advertisements will be selected based on buyers and third party advertiser's parameters. Advertisers will be able to control precisely who receives their messages based on criteria they select; geographic location, product specification, demographic information, etc. Advertisers will be automatically billed for every report to the buyer.

While an embodiment of the present invention relates to a method and apparatus for buying automotive equipment such as automobiles, trucks, etc., either used or new, the present invention can be used for purchasing of other goods and services. The present invention provides a system called an Automotive Live Market Exchange System (ALMES) to carry out the present embodiment.

While the invention has been described and illustrated in connection with the embodiments, many variations and modifications as will be evident to those skilled in the art may be made therein without departing from the spirit of the invention and the invention as set forth in the appended claims is thus not to be limited to the precise details of construction set forth above as such variations and modifications are intended to be included within the scope of the appended claims.

What is claimed is:

1. A method for purchasing goods or services from a seller by a buyer comprising:

(a) receiving a request from a buyer for goods or services with predetermined criteria related to the goods or services;

(b) selecting at least one seller from a predetermined group of sellers of the goods or services based on the received predetermined criteria;

(c) transmitting the request of the buyer to the selected at least one seller of the goods or services;

(d) receiving, within a predetermined time interval, responses from the at least one seller to the request;

(e) compiling information provided in the responses received from the at least one seller within the predetermined time interval;

(f) ranking the sellers based upon the compiled information and selecting sellers with the relatively highest ranking; and

(g) providing the compiled responses of the selected sellers for access by the buyer.

2. the method of claim 1, wherein in (a), a computer receives the request.

3. The method of claim 2, wherein in (b), selecting is performed by a central computer.

4. The method of claim 3, wherein in (c), transmitting is done by an internet system.

5. The method of claim 4, wherein in (d), receiving is done by the central computer.

6. The method of claim 5, wherein in (e) and (f), the compiling and ranking is performed by the central computer.

7. The method of claim 6, wherein in (g), accessing by the buyer is performed by an internet connection.

8. The method of claim 7, wherein the goods are selected from the group consisting of vehicles, computers, or appliances and the services are selected from the groups consisting of legal, financing, medical, or insurance.

9. The method according to claim 8, wherein the goods are vehicles.

10. A system for obtaining information for the purchasing of goods or services comprising:

input means for receiving a request for desired goods or services from a buyer, the request including predetermined criteria related to the goods or services;

selection means for selecting at least two providers from a predetermined group of providers of the goods or services based on the predetermined criteria;

transmission means for transmitting the request of the buyer to the selected providers;

reception means for receiving, within a predetermined time interval, responses from the selected providers;

computation means for compiling information provided in the response received within the predetermined time interval for ranking the sellers based upon the compiled information, and for selecting sellers with the relatively highest ranking; and

output means for providing the compiled responses of the selected sellers for access by the buyer.

11. The system according to claim 10, wherein the input means is a computer.

12. The system according to claim 11, wherein the selection means is a central computer.

13. The system according to claim 12, wherein the transmission means includes an internet system.

14. The system according to claim 13, wherein the reception means includes a central computer.

15. The system according to claim 14, wherein the computation means includes the central computer.

16. The system according to claim 14, wherein the output means includes an internet connection.

17. The system of claim 16, wherein the goods are selected from the group consisting of vehicle computers or appliances.

18. The system according to claim 17, wherein the goods are vehicles.

19. A system for obtaining information for the purchasing of automobiles by prospective buyers:

input means, including a computer, for receiving a request for a desired automobile from a prospective buyer, the request including predetermined criteria related to the automobile;

selection means, including a central computer, for selecting at least two providers from a predetermined group of providers of the automobile based on the predetermined criteria;

transmission means, including an internet connection, for transmitting the request of the prospective buyer to the selected providers;

reception means, including the central computer, for receiving, within a predetermined time interval, responses from the selected providers;

computation means, including the central computer, for compiling information provided in the response received within the predetermined time interval for ranking the providers based upon the compiled information, and for selecting providers with the relatively highest ranking; and

output means, including an internet connection, for providing the compiled responses of the selected providers for access by the prospective buyer.

20. The system of claim 19, wherein the automobile is a previously owned automobile.

ABSTRACT OF THE DISCLOSURE

An automatic system and method optimizes the purchasing of goods and services by a buyer. A request for a quote for goods or services required is received from a buyer. This request is processed and dealers are selected based on the criteria of the buyer and other criteria. The selected dealers are then sent the request for goods and services and quotes are solicited. Quotes from the selected dealers, for the goods or services, are then receivable within a predetermined time period. Thereafter, the received quotes of the providers of the goods or services are ranked. The ranking and the report of the providers are then outpried in steps S_2 and S_3 of Fig. 2.

6920 090000

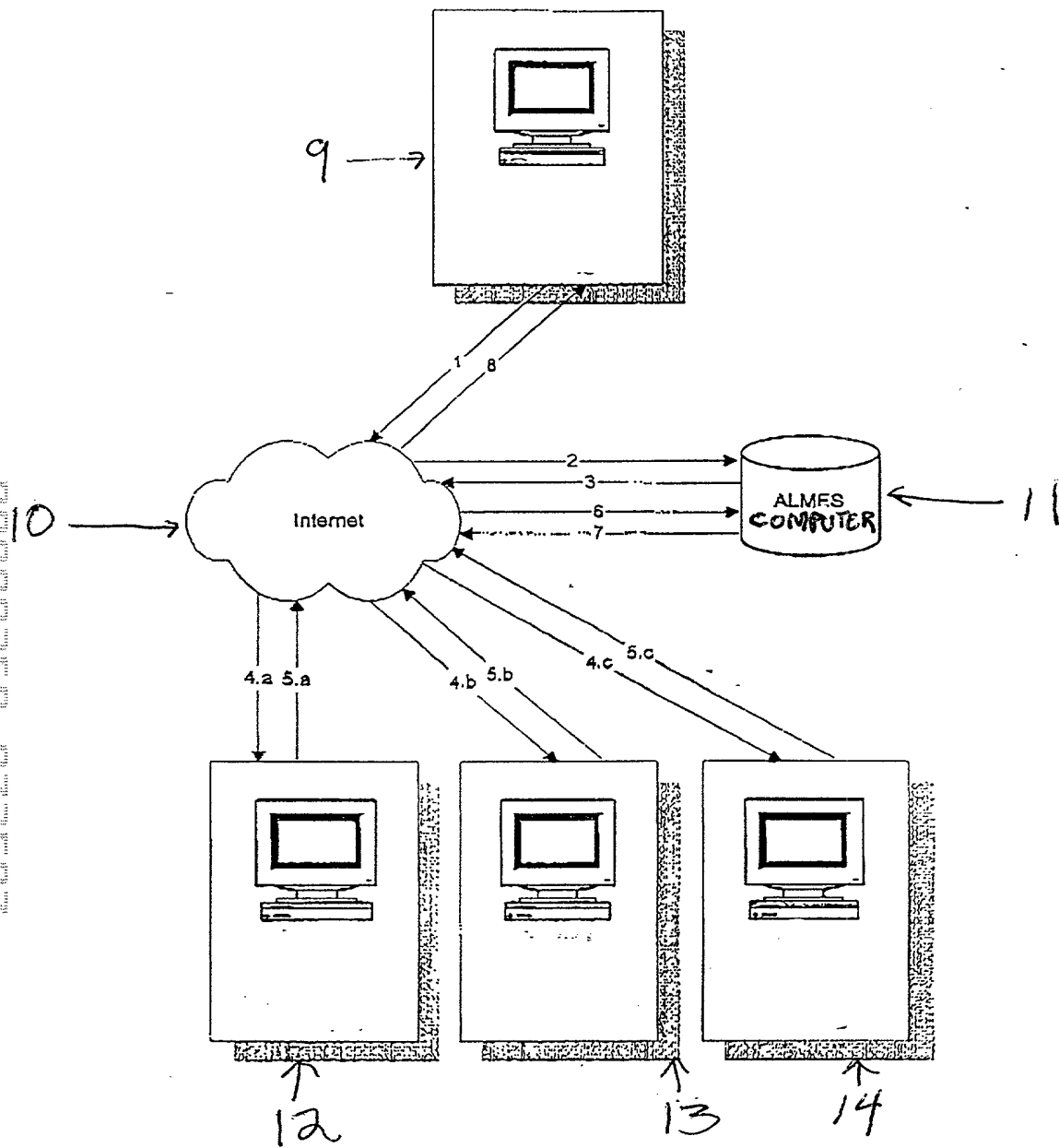


FIG 1

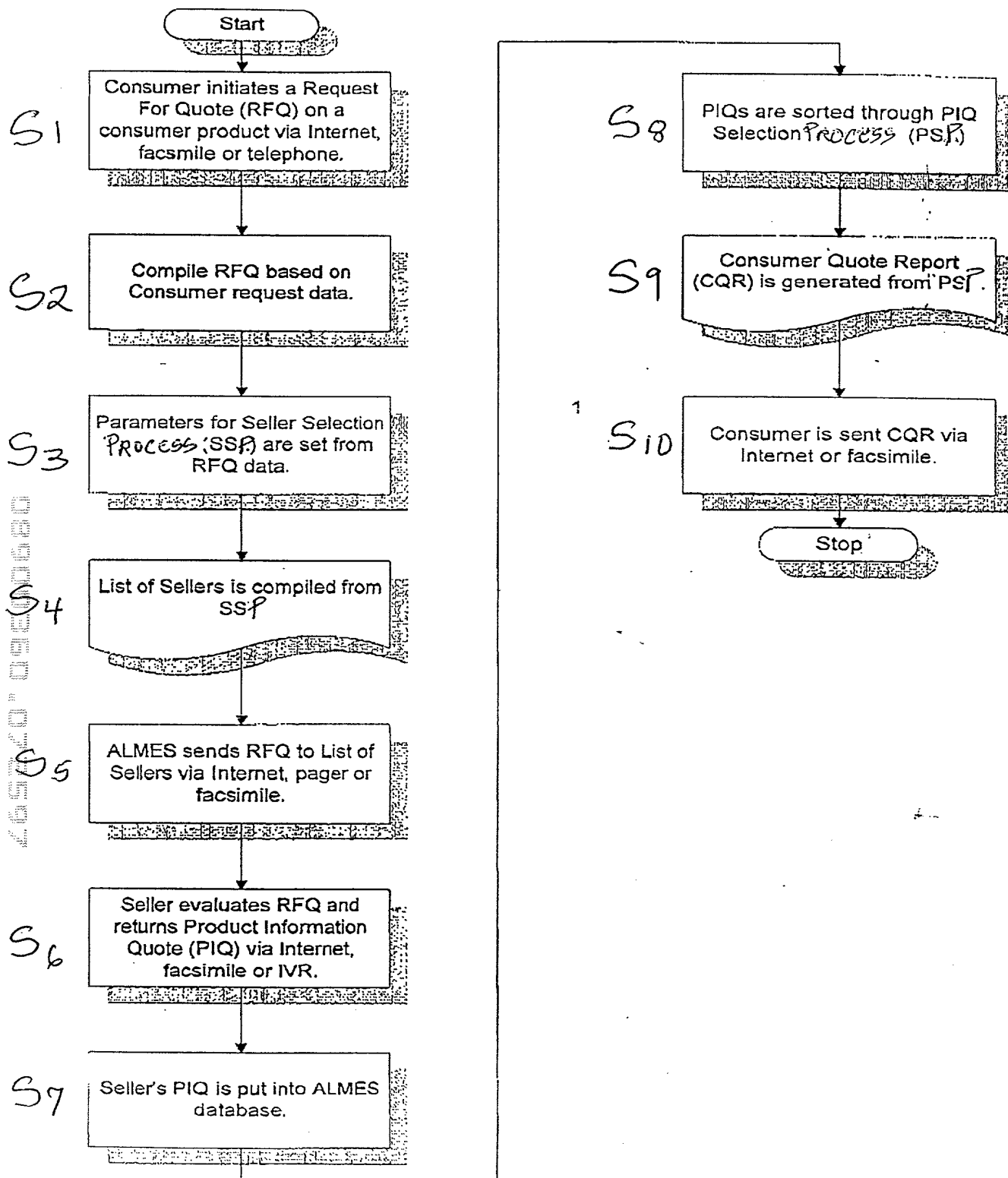


FIG. 2

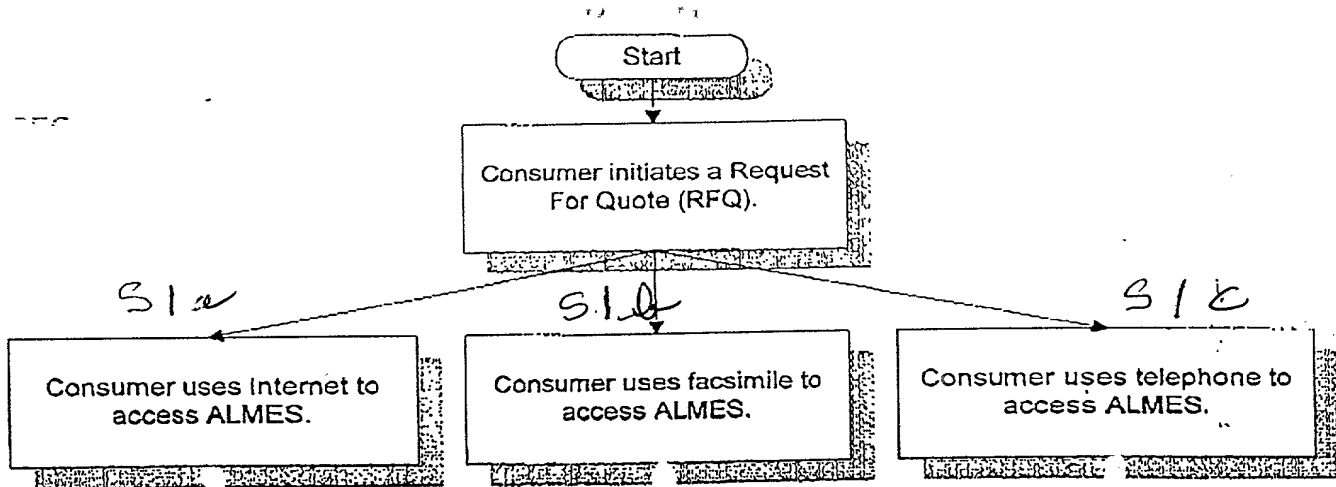


FIG 3

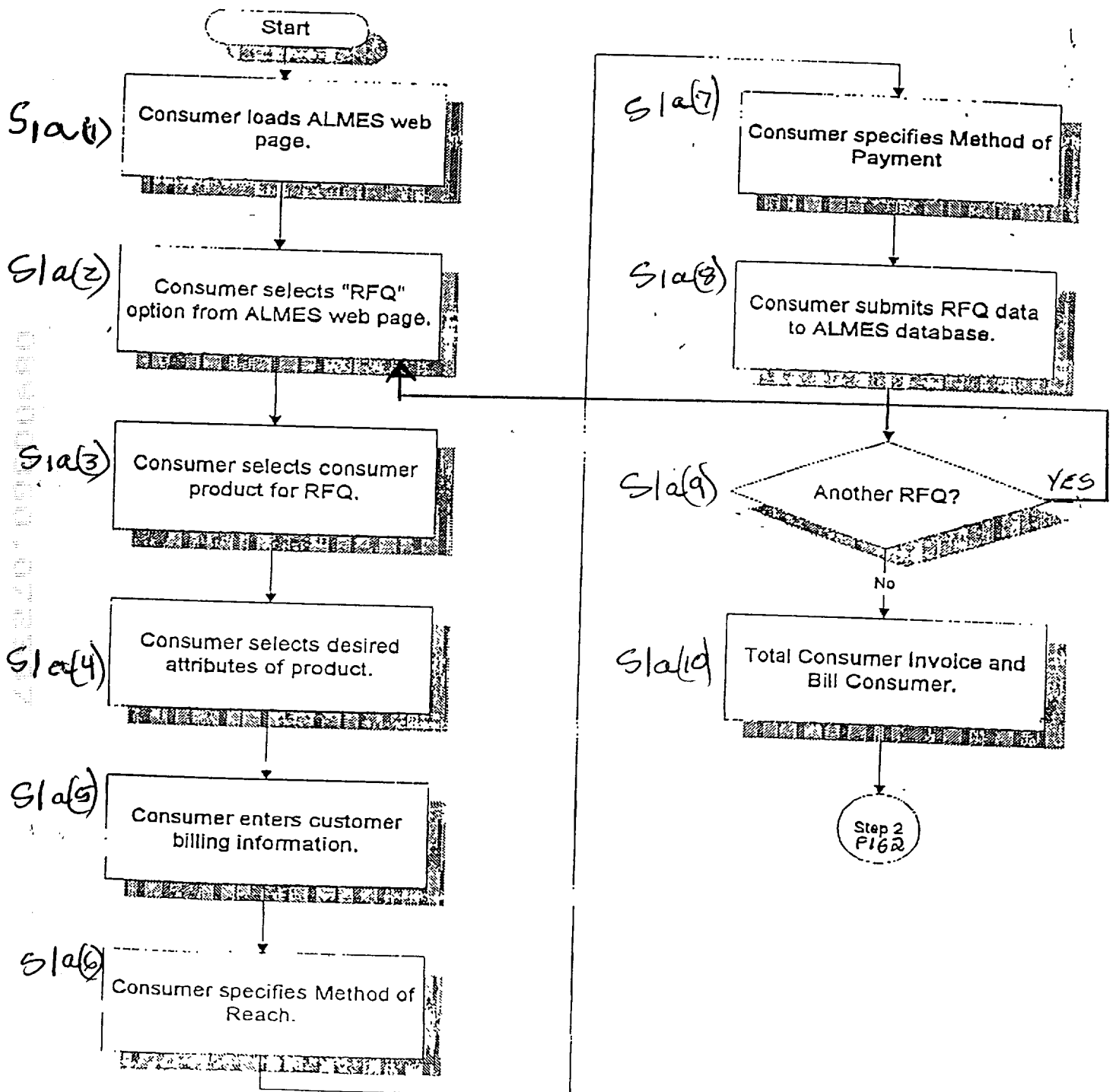


FIG 4

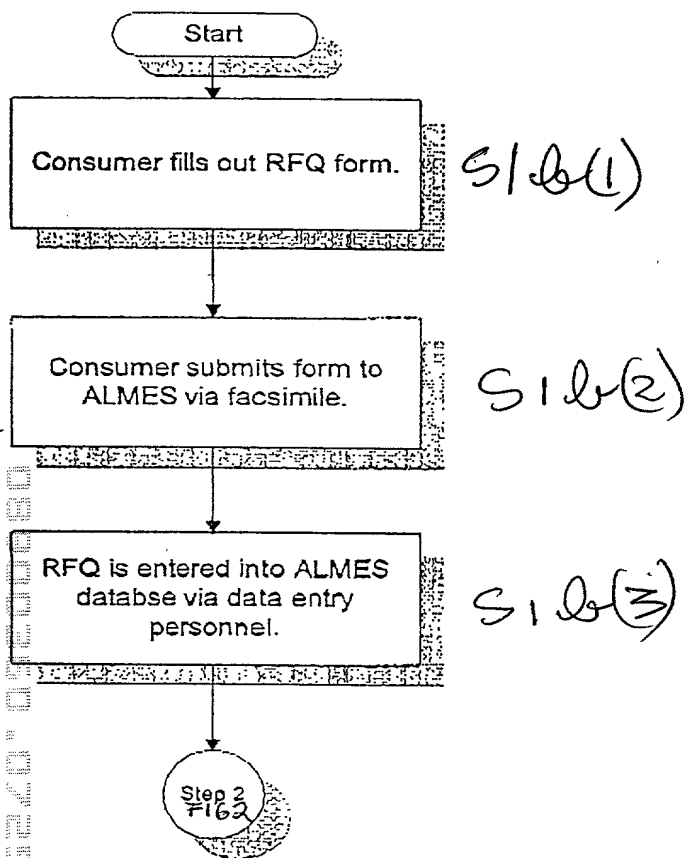


FIG. 5

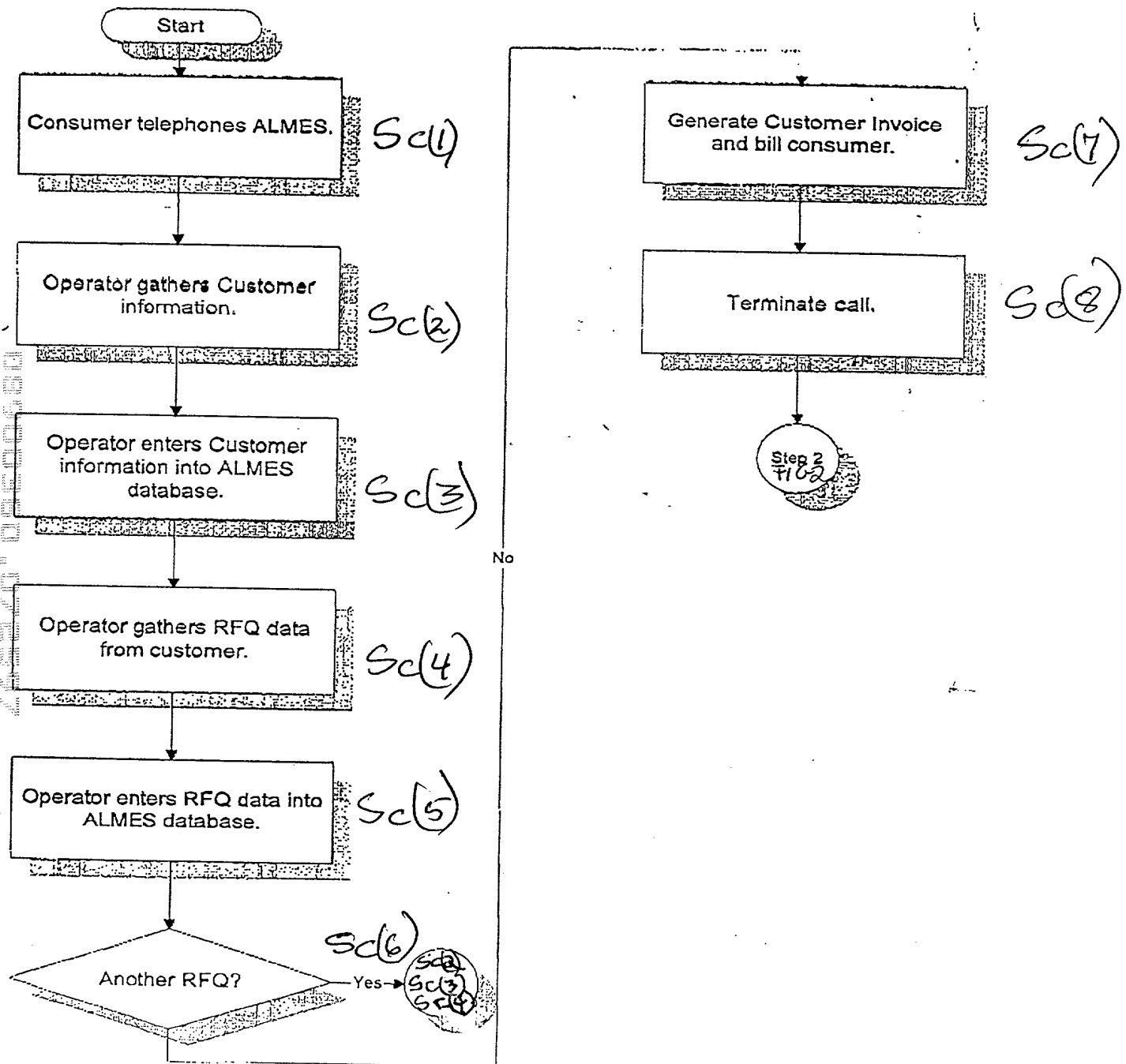


FIG. 6

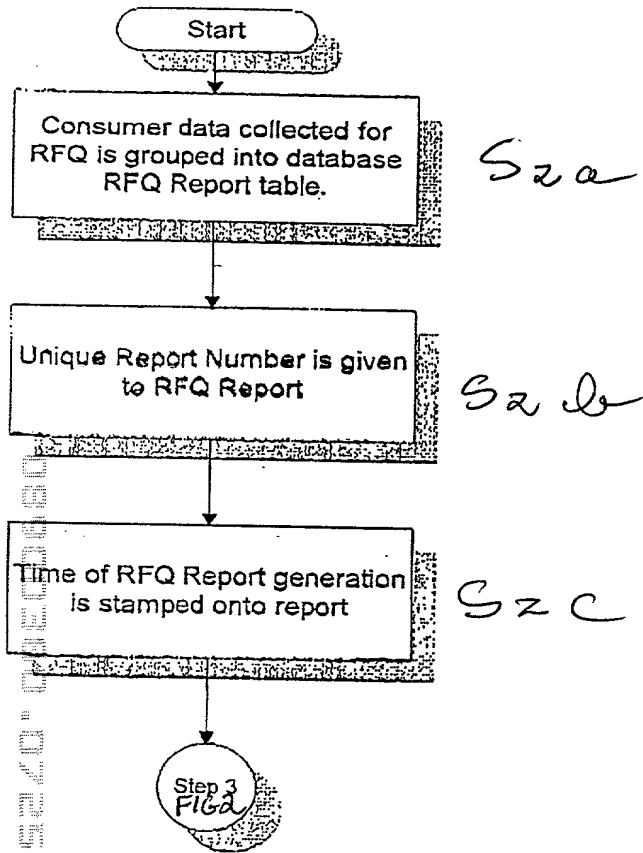


FIG. 7

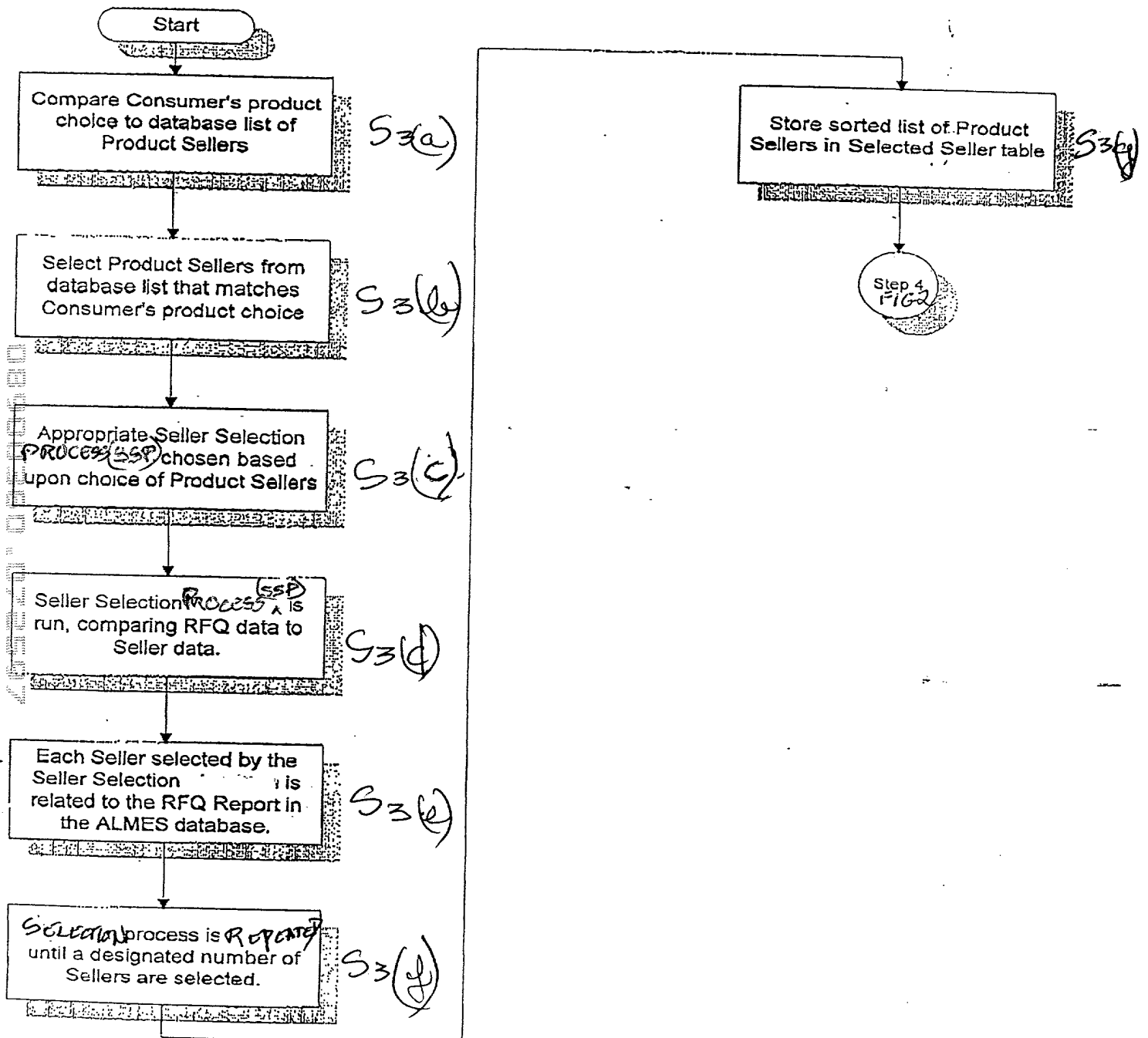
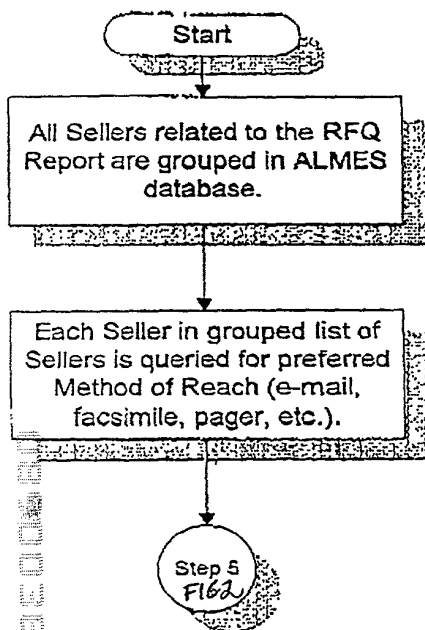


FIG. 8



S4a

S4b

FIG 9

A: Identification Information:

Customer Name

Mail Address

City State

Zip Apt. Num.

Phone (Area Code)

Ask Number

Wish to receive my Report by: FAX ☐ Overnight Mail ☐

D: Financing Information:

Possible Payment Methods: Loan ☐ Lease ☐ Cash ☐

Check all that apply

Preferred Term of Loan Months

Preferred Term of Lease Months

If Financing, estimate your Down Payment \$

C: Vehicle Specifications (in preference order)

Make	Model	Doors	Series	Style/Class
Example:	Ford Taurus	4	LX	Sedan
Age of Vehicle	From		To	
Age of Miles	From		To	
Age of Price	From	\$	To	\$
Interior Color Choices			or	
Exterior Color Choices			or	

E: Potential Aftermarket Sales:

I am interested in buying:

	YES	NO	MAYBE
Extended Warranty	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
GAP Insurance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rust Protection	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Credit Life Insurance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Accident and Health Insurance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

There is no obligation to purchase any of the above items

Accessories Requested:

Wheel Drive (4WD)	<input type="checkbox"/>
Conditioning	<input type="checkbox"/>
5 Brakes	<input type="checkbox"/>
bag - Driver side	<input type="checkbox"/>
bag - Passenger side	<input type="checkbox"/>
mm	<input type="checkbox"/>
Wheel Drive	<input type="checkbox"/>
y Wheels	<input type="checkbox"/>
FM Radio	<input type="checkbox"/>
sette	<input type="checkbox"/>
Player	<input type="checkbox"/>
se Control	<input type="checkbox"/>
ther Seats	<input type="checkbox"/>
Locks	<input type="checkbox"/>
Steering	<input type="checkbox"/>
Windows	<input type="checkbox"/>
f Rack	<input type="checkbox"/>
Roof -Power	<input type="checkbox"/>
Roof -Manual	<input type="checkbox"/>
Steering	<input type="checkbox"/>
Pckge	<input type="checkbox"/>
mission - Auto	<input type="checkbox"/>
mission - Manual	<input type="checkbox"/>
ic	<input type="checkbox"/>
ic	<input type="checkbox"/>

F: Potential Trade-In Information:

(Describe your car here if you might trade it in.)

Make/Model

Year

Miles on Vehicle

Doors Series

Style/Class

Color - Exterior Interior

Condition - Exterior Interior

(Check box if your vehicle has any of the following items in working condition)

A/C	<input type="checkbox"/>	Power locks	<input type="checkbox"/>	Pwr Steering	<input type="checkbox"/>
Leather Seats	<input type="checkbox"/>	CD	<input type="checkbox"/>	Tow Package	<input type="checkbox"/>
Pwr Sun Roof	<input type="checkbox"/>	4WD	<input type="checkbox"/>	Roof Rack	<input type="checkbox"/>
Auto Transmission	<input type="checkbox"/>	Cruise Control	<input type="checkbox"/>		<input type="checkbox"/>
Convertible	<input type="checkbox"/>	Air bags	<input type="checkbox"/>		<input type="checkbox"/>

There is no obligation to sell your vehicle to any dealership

G: Payment of \$20 to SERVICE BY:

Add \$10 if requesting overnight mail delivery

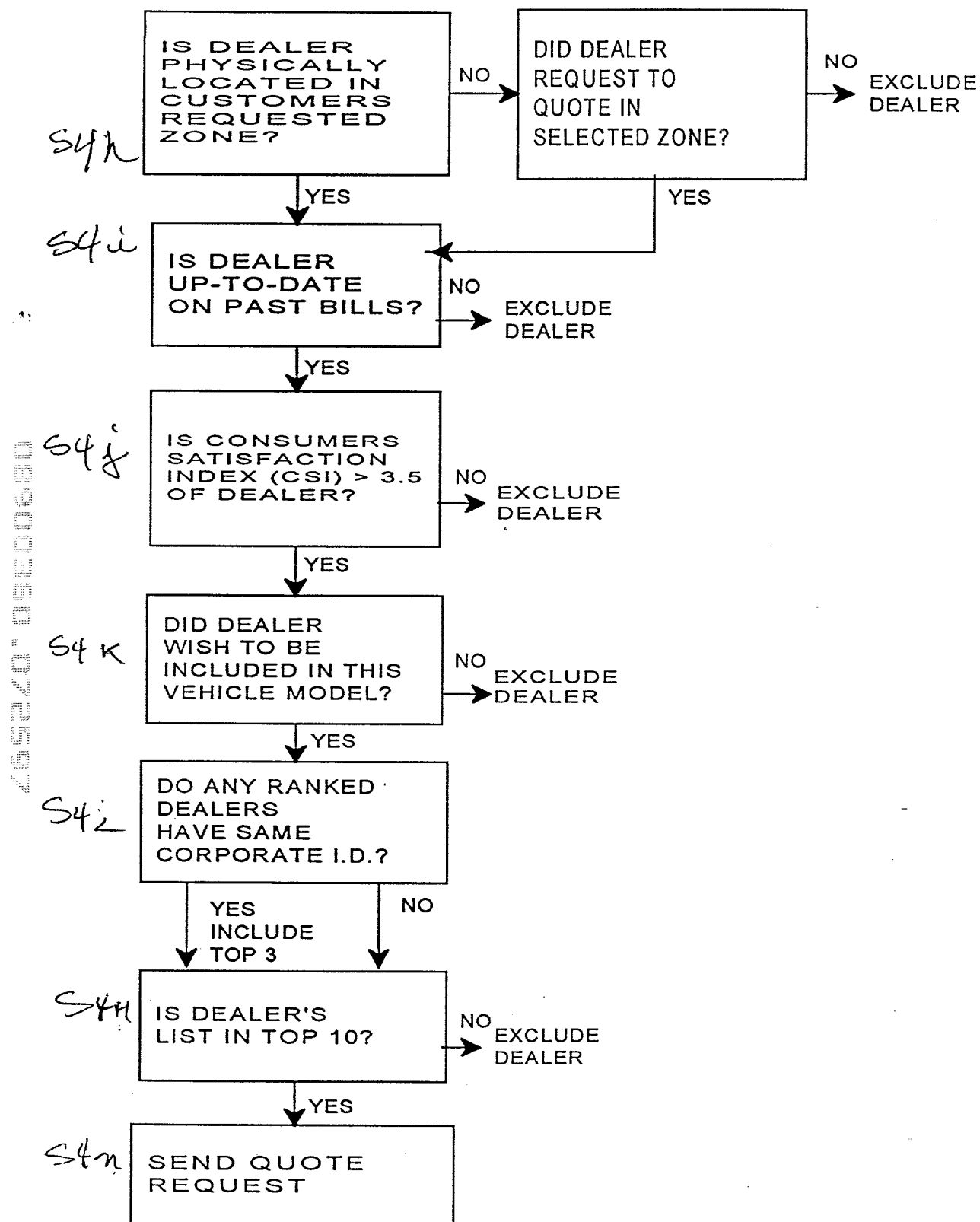
Select Credit Card: Visa ☐ Mastercard ☐ Amer. Exp. ☐

Acct Num Ex date:

Billing Address: Street Apt #

City Zip

FIG. 10



Identification Information:

Report Number (8 digits)
Dealership ID (7 digits)
Dealership Name
NAQS Manager PIN (6 digits)
This Vehicle must be Advertised Yes ☐ No ☐

Dealers are entitled to one "guaranteed" ad per Report. If "Yes" - ad will be printed. If "No" - ad printed only if it better matches the consumer's request versus other vehicles. This option may not be activated for your dealership.

Vehicle Location:

On our lot ☐ Wholesellers lot ☐
Stock Number
Delivery Date 7/15/96
Price Hold ☐ Physical Hold ☐
(Days you will hold Price) (Months you will hold vehicle if ever sold)

Vehicle Specifications:

Model Year (1994)
Make (FORD)
Model (TAURUS)
Doors (4) Series (GL)
Style (or Class) (Sedan)
Miles (Do not incl. 1/10's) Cyl'd's
Exterior Color (Blue)
Interior Color (Grey)
(Use basic common names for colors - light red, dark blue, medium green)

Accessories Included:

Put an "X" in box if the vehicle contains the following

4WD	<input type="checkbox"/>	Leather Seats	<input type="checkbox"/>
A/C	<input type="checkbox"/>	Pwr Locks	<input type="checkbox"/>
ABS Brakes	<input type="checkbox"/>	Pwr Steering	<input type="checkbox"/>
Air bag - Drvr	<input type="checkbox"/>	Pwr Windows	<input type="checkbox"/>
Air bag - Psgr	<input type="checkbox"/>	Roof Rack	<input type="checkbox"/>
Alarm	<input type="checkbox"/>	Sun Roof -Power	<input type="checkbox"/>
All Wheel Drive	<input type="checkbox"/>	Sun Roof -Manual	<input type="checkbox"/>
Alloy Wheels	<input type="checkbox"/>	Tilt Steering	<input type="checkbox"/>
AM/FM Radio	<input type="checkbox"/>	Tow Pckge	<input type="checkbox"/>
Cassette	<input type="checkbox"/>	Trans - Auto	<input type="checkbox"/>
CD Player	<input type="checkbox"/>	Trans - Manual	<input type="checkbox"/>
Cruise Cntrl	<input type="checkbox"/>	Other:	<input type="checkbox"/>

Pricing Information:

On-The-Road Price \$
Price Includes Warranty Yes ☐ No ☐
Warranty: Miles Days
Type of Coverage
Money-back guarantee: Yes ☐ Days

Financing Information:

Assume a "Best Credit" situation for every customer. Dealers are not authorized by NAQS to run credit reports on NAQS consumers. This fact is relayed to the customer.

Loan Approved Yes ☐ No ☐ Not Checked ☐
Amount Financed \$
Financing Rate %
Monthly Payments \$
Term of Loan Months
Down Payment \$

Leasing Information:

Not applicable for used car advertising.

Lease Approved Yes ☐ No ☐ Not Checked ☐
Capitalized Cost \$
Monthly Payments \$
Term of lease Months
Refundable Deposit \$
Down Payment \$
Residual Value \$\$
Money Factor (Int. Rate) %
Mileage Cap
Cost/Mile > Cap \$ Cents/Mile

Additional Comments

(Maximum 30 spaces)

Manager's Signature OR ID CODE

Date

* "On-The-Road" price includes all tax, tag, registration, freight, preparation, destination, advertising, and lien fees (when applicable).
Please use black ink pen to fill out form. NAQS is not responsible for errors or omissions.

FIG. 13

FIG. 15

REPORT

VEHICLES REQUESTED:

FORD TAURUS
MERC. SABLE

RED/GREY
RECEIVE BY: FAX
REPORT NUMBER: DT123456

CUSTOMER INFORMATION:

JANE DOE
CUSTOMER NUMBER: 123456

RANK
SELLER

A B C
13 12 14

VEHICLE INFORMATION

MAKE, MODEL	Ford Taurus	Merc Sable	Ford Taurus
DOORS, SERIES, STYLE	4Dr. GL Sedan	4Dr. GS Sedan	4Dr. GL Sedan
YEAR	1992	1995	1994
MILES	75,125	29,941	45,025
EXTERIOR / INTERIOR COLOR	Blue / Grey	Red / Grey	Green / Grey
STOCK NUMBER	56980	25180	56880

Your "On-the-road" Price	\$8,300	\$11,900	\$13,112
Location of lot	Our lot	Another lot	Our lot

WARRANTY INFO.

Price includes Warranty		Yes	
Warranty duration (lesser of days/miles)		360 / 25000	
Type of coverage		Corrosion	
Money-back Guarantee / Days	Yes / 5 days		
Price Hold (days)	2	4	3
Physical Car hold (hours)	5	7	3

FINANCING INFO.

Loan Approved	Not Checked	Not Checked	Not Checked
Financing Rate (Assumes "best credit")	8.25%	7.50%	7.75%
Monthly Payments if financed	\$148.99	\$218.41	\$244.14
Term of Loan in months	60	60	60
Down Payment (not including trade-in)	\$1,000.00	\$1,000.00	\$1,000.00
Amount Financed	\$7,300.00	\$9,900.00	\$12,112.00

SPECIFICATIONS

4WD			
Air Conditioning	Yes	Yes	Yes
ABS (Anti-lock) Brakes		Yes	
Air Bags	1	2	1
Alarm	Yes		
All Wheel Drive	Yes	Yes	Yes
Alloy Wheels	Yes		Yes
AM/FM Radio	Yes	Yes	Yes
Cassette Player		Yes	
CD Player			Yes
Cruise Control	Yes	Yes	Yes
Leather Seats	Yes		
Power locks			
Power Steering	Yes	Yes	Yes
Power Windows			
Roof Rack	Yes		Yes
Sun roof (manual or Power)	Manual		
Tow Package	Yes		Yes
Transmission (Automatic or Manual)	Automatic	Automatic	Automatic
Other Items Included	Door Guards		Keyless Entry

DEALER'S COMMENTS

Excellent condition Greampuff
1 owner
Open 24 Hours
Exit 13B off I83

21

22

23

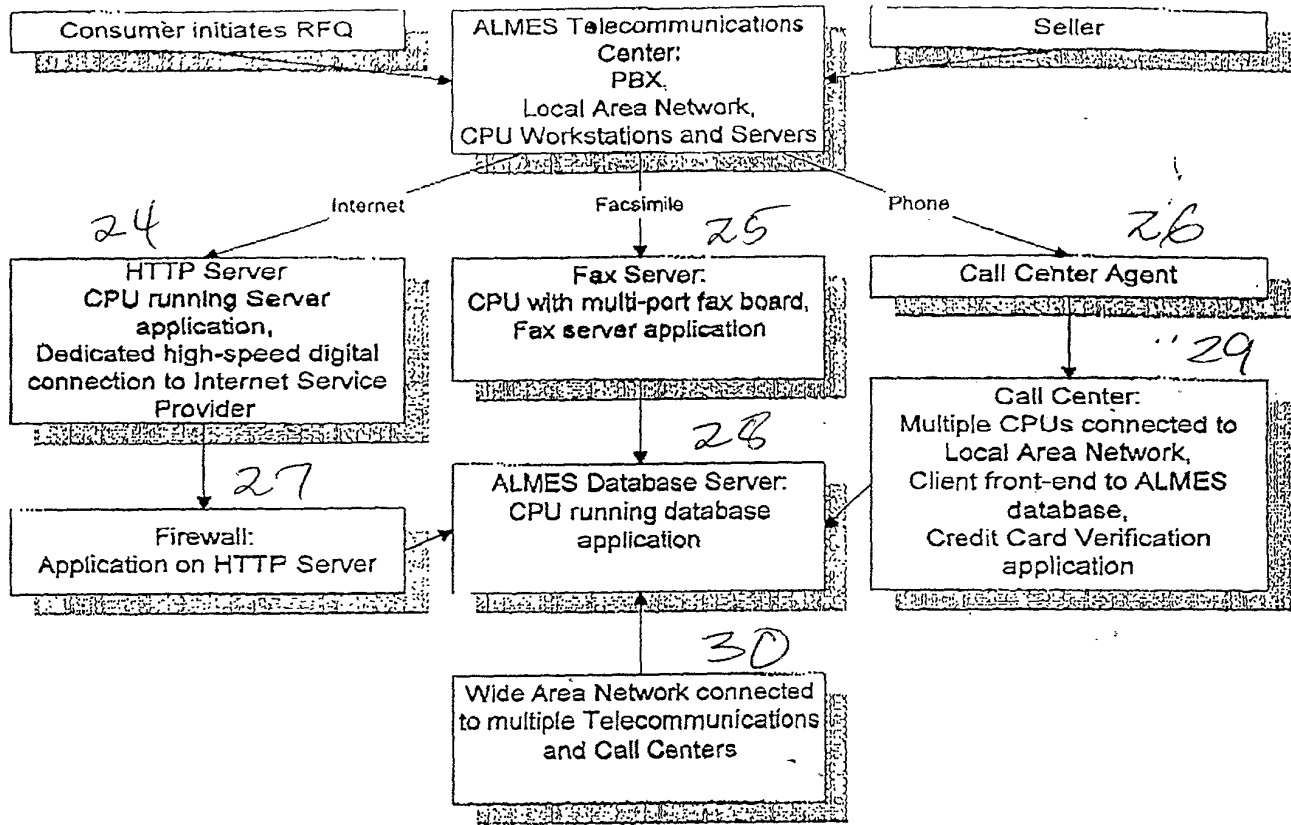


FIG. 16

BIRCH, STEWART, KOLASCH & BIRCH, LLP

COMBINED DECLARATION AND POWER OF ATTORNEY

FOR PATENT AND DESIGN APPLICATIONS

ATTORNEY DOCKET NO.

2569-0103P

PLEASE NOTE:
YOU MUST
COMPLETE THE
FOLLOWING:

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:*

Insert Title

METHOD AND APPARATUS FOR PROCURING GOODS IN AN

AUTOMATED MANNER

Check Box If
Appropriate -
For Use Without
Specification
Attached

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number _____ or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Insert Priority
Information
(if appropriate)

(Number)	(Country)	(Month/Day/Year Filed)	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

60/023,282

(Application Number)

July 25, 1996

(Filing Date)

(Application Number)

(Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More Than 12 Months (6 Months for Designs) Prior To The Filing Date of This Application:

Country

Application No.

Date of Filing (Month/Day/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)

(Filing Date)

(Status — patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status — patented, pending, abandoned)

*NOTE: Must be completed.

I hereby appoint the following attorneys to prosecute this application and/or an international application based on this application and to transact all business in the Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the attorneys identified below, unless the inventor(s) or assignee provides said attorneys with a written notice to the contrary:

RAYMOND C. STEWART (Reg. No. 21,066)
 JOSEPH A. KOLASCH (Reg. No. 22,463)
 JAMES M. SLATTERY (Reg. No. 28,380)
 CHARLES GORENSTEIN (Reg. No. 29,271)
 LEONARD R. SVENSSON (Reg. No. 30,330)
 MARC S. WEINER (Reg. No. 32,181)
 JOE MCKINNEY MUNCY (Reg. No. 32,334)
 C. JOSEPH FARACI (Reg. No. 32,350)

TERRELL C. BIRCH (Reg. No. 19,382)
 ANTHONY L. BIRCH (Reg. No. 26,122)
 BERNARD L. SWEENEY (Reg. No. 24,448)
 MICHAEL K. MUTTER (Reg. No. 29,680)
 GERALD M. MURPHY, JR. (Reg. No. 28,977)
 TERRY L. CLARK (Reg. No. 32,644)
 ANDREW D. MEIKLE (Reg. No. 32,868)
 ANDREW F. REISH (Reg. No. 33,443)

PLEASE NOTE:
 YOU MUST
 COMPLETE THE
 FOLLOWING:

Send Correspondence to: **BIRCH, STEWART, KOLASCH AND BIRCH, LLP**

P.O. Box 747

Falls Church, Virginia 22040-0747

Telephone: (703) 205-8000

Facsimile: (703) 205-8050

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole
 Inventor:

Insert Name of Inventor
 Insert Date This
 Document Is Signed

Insert Residence
 Insert Citizenship

Insert Post Office
 Address

Full Name of Second
 Inventor, if any:

see above

Full Name of Third
 Inventor, if any:

see above

Full Name of Fourth
 Inventor, if any:

see above

Full Name of Fifth
 Inventor, if any:

see above

*Note: Must be completed
 — date this document is
 signed.

GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE		DATE*	
Wells		OBRECHT		<i>John Obrecht</i>		7/16/97	
Residence (City, State & Country)						CITIZENSHIP	
Baltimore, Maryland						USA	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)							
509 Edgevale Road, Baltimore, Maryland 21210							
GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE		DATE*	
Residence (City, State & Country)						CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)							
GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE		DATE*	
Residence (City, State & Country)						CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)							
GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE		DATE*	
Residence (City, State & Country)						CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)							
GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE		DATE*	
Residence (City, State & Country)						CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)							

Applicant or Patentee: Wells Obrecht

Attorney's

Serial or Patent No.: NEWDocket No.: 2569-0103P

Filed or Issued: _____

For: METHOD AND APPARATUS FOR PROCURING GOODS IN AN AUTOMATED MANNERVERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27 (b)) — INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled METHOD AND APPARATUS FOR PROCURING GOODS IN AN AUTOMATED MANNER described in:

☒ (X) the specification filed herewith☐ () application serial no. _____, filed _____☐ () patent no. _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ (X) no such person, concern, or organization☐ () persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Wells ObrechtADDRESS 509 Edgevale Road, Baltimore, Maryland 21210☒ (X) INDIVIDUAL ☐ () SMALL BUSINESS CONCERN ☐ () NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ () INDIVIDUAL ☐ () SMALL BUSINESS CONCERN ☐ () NONPROFIT ORGANIZATION


FULL NAME _____

ADDRESS _____

☐ () INDIVIDUAL ☐ () SMALL BUSINESS CONCERN ☐ () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR <u>Wells Obrecht</u>	NAME OF INVENTOR	NAME OF INVENTOR
Signature of Inventor 	Signature of Inventor	Signature of Inventor
Date <u>7/15/97</u>	Date	Date